

Questions? Call our National Service Center at 1-800-888-2461.

### 1. Agent and Client Information

**Agent Name** \_\_\_\_\_  
First MI Last

**Agent Number** \_\_\_\_\_

**Client Name(s)** \_\_\_\_\_  
First MI Last

**Client(s) Date of Birth or Age** \_\_\_\_\_ **Social Security Number/Tax I.D. Number** \_\_\_\_\_  
(mm/dd/yyyy)

**Proposed Premium Amount \$** \_\_\_\_\_ **State Application will be signed in** \_\_\_\_\_

**Proposed Product** \_\_\_\_\_ **Rider Type** \_\_\_\_\_ ☐ N/A

Where does the client currently reside? ☐ Personal residence ☐ With family members ☐ Nursing home ☐ Assisted living

### 2. Financial Information

Client's Net Worth (excluding primary residence, automobiles and personal belongings) \$ \_\_\_\_\_

Client's Gross Annual Income \$ \_\_\_\_\_ Client's Gross Annual Expenses \$ \_\_\_\_\_

Total Liquid Assets (do not include assets funding this annuity) \$ \_\_\_\_\_

Total Annuities owned (including this annuity) \_\_\_\_\_

### 3. Source of Funds/Replacement

Source of funds \_\_\_\_\_ ☐ Qualified or ☐ Non-Qualified

**If you are replacing an annuity or life policy, complete the following:**

What Product are you replacing? \_\_\_\_\_ Issue Date (or years in-force) \_\_\_\_\_

Account Value \$ \_\_\_\_\_ Surrender Value \$ \_\_\_\_\_

Rider Type \_\_\_\_\_ ☐ N/A Benefit Base Value (if applicable) \$ \_\_\_\_\_

Are you the original writing agent on the contract you are replacing? ☐ Yes ☐ No

### 4. Purpose of Annuity

What is the client's purpose of establishing this annuity? Please include any other information that would be helpful in our decision process \_\_\_\_\_

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Completed forms can be faxed to the suitability department at 785.368.1767.

Please include statements or account summaries for any replacements if possible. If you have questions or would like to speak with a suitability administrator please email [suitabilityreview@SecurityBenefit.com](mailto:suitabilityreview@SecurityBenefit.com).

This form allows a preliminary review for suitability and in no way eliminates the required suitability paperwork. An initial projection of suitability based on this information does not guarantee suitability approval of the full application.

