



Questions? Call our Service Center at 1-800-888-2461.

Instructions

Use this form to transfer funds from your current carrier to First Security Benefit Life Insurance and Annuity Company of New York ("FSBL"). Complete the entire form. Please type or print.

1. The Owner must complete (i) this Incoming Funds Request form; (ii) Disclosure Statement; (iii) Application; (iv) Application Supplement; and (v) Important Notice form.
2. Please contact your current carrier for any requirements it may have for transferring money to another company.
3. Obtain Signature Guarantee if required by your current carrier.
4. The documents mentioned above should be mailed to: **First Security Benefit Life Insurance and Annuity Company of New York**
Administrative Office
P.O. Box 750497
Topeka, KS 66675-0497
5. Upon receiving this material, FSBL will send this Incoming Funds Transfer form, along with an acceptance letter, to the carrier exchanging/transferring the assets.
6. If you are completing this form for a 403(b) or 403(b)(7) account/contract please contact your employer for any processing instructions the employer or third party administrator may require.

Notice to Current Carrier

Please make check(s) payable to **First Security Benefit Life Insurance and Annuity Company of New York** for the benefit of the Owner listed on this form and mail to:

Regular mail:
Administrative Office
P.O. Box 750500
Topeka, KS 66675-0500

Overnight mail:
Administrative Office
Mail Zone 500
5801 SW 6th Street
Topeka, KS 66636-0500

1. Provide FSBL Account Information

☐ Application Attached or Contract Number _____

Name of Owner _____
First MI Last

Mailing Address _____
Street Address City State ZIP Code

Social Security Number/Tax I.D. Number _____ Date of Birth _____
(mm/dd/yyyy)

Daytime Phone Number _____ Home Phone Number _____

Name of Joint Owner _____
First MI Last

Mailing Address _____
Street Address City State ZIP Code

Social Security Number/Tax I.D. Number _____ Date of Birth _____
(mm/dd/yyyy)

Daytime Phone Number _____ Home Phone Number _____

Name of Annuitant _____
(if different from Owner) First MI Last

Mailing Address _____
Street Address City State ZIP Code

Social Security Number/Tax I.D. Number _____ Date of Birth _____
(mm/dd/yyyy)

Daytime Phone Number _____

Home Phone Number _____

Please indicate the type of account you would like to transfer your funds to (check one).

☐ Non-qualified Annuity ☐ Roth IRA ☐ Traditional IRA



2. Provide Your Current Carrier Information

Please fill out the name and contact information for your current carrier.

Current Carrier's Name _____

Mailing Address _____
Street Address City State ZIP Code

Phone Number _____ Account Number for Current Carrier _____

Please indicate the account type you have with your current carrier (check one).

- | | | | |
|----------------------------------|--|--|---------------------------------------|
| <input type="radio"/> 401(a) | <input type="radio"/> Life Insurance | <input type="radio"/> Non-qualified Mutual Fund ¹ | <input type="radio"/> SIMPLE IRA |
| <input type="radio"/> 401(k) | <input type="radio"/> Non-qualified Annuity | <input type="radio"/> Roth IRA | <input type="radio"/> Traditional IRA |
| <input type="radio"/> 403(b) TSA | <input type="radio"/> Non-qualified CD, Stock ¹ | <input type="radio"/> SEP-IRA | <input type="radio"/> Other |
| <input type="radio"/> 457 | | | |

¹This transfer is a taxable event.

Please indicate the investment type you have with your current carrier (check one).

- | | | | | | |
|---|-------------------------------|-----------------------------------|-----------------------------------|------------------------------------|---|
| <input type="radio"/> Annuity | <input type="radio"/> Bank CD | <input type="radio"/> Mutual Fund | <input type="radio"/> Life Policy | <input type="radio"/> Money Market | <input type="radio"/> Brokerage Account |
| <input type="radio"/> 401(k)/Pension Plan | <input type="radio"/> Other | | | | |

If this request involves your entire account balance, please check one of the following. My policy is:

- | | |
|--------------------------------|--------------------------------------|
| <input type="radio"/> Enclosed | <input type="radio"/> Lost/destroyed |
|--------------------------------|--------------------------------------|

3. Set Up Transfer/Exchange/Rollover Option

Type of Transfer/Rollover

- ☐ 1035 Exchange: I hereby make complete and absolute assignment and transfer all or the portion specified of my rights, title and interest of every nature and character in and to the Current Carrier Account in Section 2 to FSBL in an exchange intended to qualify under Section 1035 of the Internal Revenue Code. I understand that by executing this assignment, I irrevocably waive all rights, claims and demands under the above policy for the portion specified.

If you effect, or have effected, a partial exchange from a previously existing annuity contract with another carrier to an annuity contract with FSBL under IRC Section 1035, any withdrawals from or changes in ownership to your FSBL contract within 180 days of such partial exchange may have adverse tax consequences. Please consult your tax advisor.

- ☐ Rollover (not like-to-like, for example 457 to IRA, etc.)
- ☐ Transfer (like-to-like, for example IRA to IRA, etc.)

Please Transfer ☐ Immediately ☐ On date _____ (transfer must occur within 30 calendar days from
Date (mm/dd/yyyy) signing date and will be mailed two business days
prior to date listed here)

Amount

- ☐ Liquidate my entire Account: Estimated Value \$ _____
- ☐ Liquidate a specified amount: Amount to Transfer \$ _____
- ☐ Transfer over _____ years
- | | | | |
|-------------------------------|---------------------------------|-------------------------------------|--------------------------------|
| <input type="radio"/> Monthly | <input type="radio"/> Quarterly | <input type="radio"/> Semi-annually | <input type="radio"/> Annually |
|-------------------------------|---------------------------------|-------------------------------------|--------------------------------|

Distribution Requirements (if applicable)

I certify that applicable requirements have been met for distribution. Check all that apply:

- | | | |
|----------------------------------|-----------------------------------|--|
| <input type="checkbox"/> Age 59½ | <input type="checkbox"/> Disabled | <input type="checkbox"/> Severance of employment on _____
Date (mm/dd/yyyy) |
|----------------------------------|-----------------------------------|--|

Please Continue ➡



4. Required Minimum Distribution (if applicable)

- ☐ Current carrier should distribute my RMD to me prior to transferring/rolling over my account.
- ☐ Current carrier should proceed with the transfer/rollover because the requirements for the current year have been met.

5. Provide Investment Directions

Please invest the funds (check one):

- ☐ As indicated on the enclosed application; or for an existing account, to the allocations on file.
- ☐ According to the Investment Allocations indicated below. Indicate whole percentages totaling 100%.

If no option is indicated above, the funds will be invested according to the allocations on file.

_____ % Invesco V.I. Government Money Market	_____ % T. Rowe Price International Stock
_____ % T. Rowe Price All-Cap Opportunities	_____ % T. Rowe Price Limited-Term Bond
_____ % T. Rowe Price Blue Chip Growth	_____ % T. Rowe Price Mid Cap Growth
_____ % T. Rowe Price Equity Income	_____ % T. Rowe Price Moderate Allocation
_____ % T. Rowe Price Equity Index 500	_____ % Fixed Account
_____ % T. Rowe Price Health Sciences	Must Total 100%

Please Continue ➞



6. Provide Signatures

As the Contractowner, I understand, acknowledge and certify that:

- I am responsible for tax consequences which could include the imposition of penalties, additional taxes and interest. FSBL assumes no responsibility or liability for any effects of this transaction.
- I am aware of my right to receive information regarding my current contract, including contract values.
- I certify that the information provided is correct and complete.

X	_____ Signature of Owner	_____ Date (mm/dd/yyyy)	_____ (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)
X	_____ Signature of Joint Owner	_____ Date (mm/dd/yyyy)	_____ (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)
X	_____ Signature of Plan Sponsor or Third Party Administrator (if applicable – Please consult your financial professional or employer)	_____ Date (mm/dd/yyyy)	_____ Title
X	_____ Signature of Financial Professional	_____ Date (mm/dd/yyyy)	_____ Print Name of Financial Professional

7. Obtain Signature Guarantee

Please obtain a Signature Guarantee ONLY if required by your Current Carrier.

You can obtain a Signature Guarantee from a bank, broker or other acceptable financial institution. A Notary Public cannot provide a Signature Guarantee.

X	_____ Signature of Guarantor	_____ Date (mm/dd/yyyy)	_____ Title or Name of Institution
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Place Signature Guarantee Stamp Here

8. FSBL Acceptance

To be completed by FSBL. FSBL hereby agrees to accept the transfer of the proceeds identified on this form.

X	_____ Signature of Accepting Carrier	_____ Date (mm/dd/yyyy)	_____ Title
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Mail to: First Security Benefit Life Insurance and Annuity Company of New York • Administrative Office • PO Box 750497 • Topeka, KS 66675-0497 or
Fax to: 1-785-368-1772
Visit us online at www.FSBL.com

