First Security Benefit Life Insurance and Annuity Company of New York

EliteDesigns® II Incoming Funds Request

Questions? Call our Service Center at 1-800-888-2461.

Instructions

Use this form to transfer funds from your current carrier to First Security Benefit Life Insurance and Annuity Company of New York ("FSBL"). Complete the entire form. Please type or print.

1. The Owner must complete (i) this Incoming Funds Request form; (ii) Disclosure Statement; (iii) Application; (iv) Application Supplement; and (v) Important Notice form.
2. Please contact your current carrier for any requirements it may have for transferring money to another company.
3. Obtain Signature Guarantee if required by your current carrier.
4. The documents mentioned above should be mailed to: **First Security Benefit Life Insurance and Annuity Company of New York**
   Administrative Office
   P.O. Box 750497
   Topeka, KS 66675-0497
5. Upon receiving this material FSBL will send an acceptance letter to the carrier.
6. If you are completing this form for a 403(b) or 403(b)(7) account/contract please contact your employer for any processing instructions the employer or third party administrator may require.

Notice to Current Carrier

Please make check(s) payable to **First Security Benefit Life Insurance and Annuity Company of New York** for the benefit of the Owner listed on this form and mail to:

Regular mail:
Administrative Office
P.O. Box 750500
Topeka, KS 66675-0500

Overnight mail:
Administrative Office
Mail Zone 500
5801 SW 6th Street
Topeka, KS 66636-0500

Continued on Next Page ▶

1. Provide FSBL Account Information

☐ **Application Attached or Contract Number** ______________________

Name of Owner ______________ First ______ MI ______________ Last

Mailing Address ______________ Street Address ______________ City ______ State ______ Zip Code

Social Security Number/Tax I.D. Number ______________ **Date of Birth** ______________ (mm/dd/yyyy)

Daytime Phone Number ______________ **Home Phone Number** ______________

Name of Joint Owner ______________ First ______ MI ______________ Last

Mailing Address ______________ Street Address ______________ City ______ State ______ Zip Code

Social Security Number/Tax I.D. Number ______________ **Date of Birth** ______________ (mm/dd/yyyy)

Daytime Phone Number ______________ **Home Phone Number** ______________

Name of Annuitant/Participant *(if different from Owner)* ______________ First ______ MI ______________ Last

Mailing Address ______________ Street Address ______________ City ______ State ______ Zip Code

Social Security Number/Tax I.D. Number ______________ **Date of Birth** ______________ (mm/dd/yyyy)

Daytime Phone Number ______________ **Home Phone Number** ______________

Please indicate the type of account you would like to transfer your funds to (check one).

- ○ **Roth IRA**
- ○ **Non-qualified Annuity**
- ○ **Traditional IRA**

2. Provide Your Current Carrier Information

Please fill out the name and contact information for your current carrier.

Current Carrier's Name ______________________

Mailing Address ______________ Street Address ______________ City ______ State ______ Zip Code

Phone Number ______________ **Account Number for Current Carrier** ______________

Please indicate the account type you have with your current carrier (check one).

- ○ 401(a)
- ○ 401(k)
- ○ 403(b) TSA
- ○ 457
- ○ Life Insurance
- ○ Non-qualified Annuity
- ○ Non-qualified CD, Stock[1]
- ○ Non-qualified Mutual Fund[1]
- ○ Roth IRA
- ○ SEP-IRA
- ○ SIMPLE IRA
- ○ Traditional IRA
- ○ Other

[1]This transfer is a taxable event.

Please indicate the investment type you have with your current carrier (check one).

- ○ Annuity
- ○ Bank CD
- ○ Mutual Fund
- ○ Life Policy
- ○ Money Market
- ○ Brokerage Account
- ○ 401(k)/Pension Plan
- ○ Other

If this request involves your entire account balance, please check one of the following. My policy is:

- ○ Enclosed
- ○ Lost/destroyed

Continued on Next Page ▶

3. Set Up Transfer/Exchange/Rollover Option

Please indicate one of the following.

○ 1035 Exchange: I hereby make complete and absolute assignment and transfer all or the portion specified of my rights, title and interest of every nature and character in and to the Current Carrier Account in Section 2 to FSBL in an exchange intended to qualify under Section 1035 of the Internal Revenue Code. I understand that by executing this assignment, I irrevocably waive all rights, claims and demands under the above policy for the portion specified.

If you effect, or have effected, a partial exchange from a previously existing annuity contract with another carrier to an annuity contract with FSBL under IRC Section 1035, any withdrawals from or changes in ownership to your FSBL contract within 180 days of such partial change may have adverse tax consequences. Please consult your tax advisor.

○ Exchange (exchange of 403(b)/403(b)(7) assets from one provider to another provider within your current employer's Plan)

○ Rollover (not like-to-like, for example 457 to IRA, etc.)

○ Transfer (like-to-like, for example, 403(b) to 403(b), IRA to IRA, prior employer 403(b) Plan to current employer 403(b) Plan)

Please Transfer ○ Immediately ○ On date ____________________ (transfer must occur within 30 calendar days from signing date and will be mailed two business days prior to date listed here)
Date (mm/dd/yyyy)

Amount

○ Liquidate my entire Account: Estimated Value $ ____________________

○ Liquidate a specified amount: Amount to Transfer $ ____________________ or % ________________

○ Transfer over __________ years

○ Monthly ○ Quarterly ○ Semi-annually ○ Annually

Distribution Requirements (if applicable)

I certify that applicable requirements have been met for distribution. Check all that apply:

☐ Age 59½ ☐ Disabled ☐ Severance of employment on ________________
Date (mm/dd/yyyy)

4. Required Minimum Distribution (if applicable)

○ Current carrier should distribute my RMD to me prior to transferring/rolling over my account.

○ Current carrier should proceed with the transfer/rollover because the requirements for the current year have been met.

Continued on Next Page ▶

5. Provide Investment Directions (continued on page 5)

Please invest the funds (check one):

○ As indicated on the enclosed application; or for an existing account, to the allocations on file.

○ According to the Investment Allocations indicated below. Indicate whole percentages totaling 100%.

If no option is indicated above, the funds will be invested according to the allocations on file.

_________ % AB Discovery Value
_________ % AB Relative Value
_________ % AB Sustainable Global Thematic
_________ % AB VPS Dynamic Asset Allocation
_________ % AFIS Capital World Growth and Income
_________ % AFIS U.S. Government Securities
_________ % AFIS Washington Mutual Investors
_________ % Alger Capital Appreciation [1]
_________ % Alger Large Cap Growth
_________ % Allspring Opportunity VT
_________ % Allspring VT Discovery All Cap Growth
_________ % ALPS/Alerian Energy Infrastructure
_________ % American Funds IS® Asset Allocation
_________ % American Funds IS® Capital World Bond
_________ % American Funds IS® Global Growth
_________ % American Funds IS® Global Small Capitalization
_________ % American Funds IS® Growth
_________ % American Funds IS® Growth-Income
_________ % American Funds IS® International
_________ % American Funds IS® International Growth and Income
_________ % American Funds IS® Mortgage
_________ % American Funds IS® New World
_________ % BlackRock Advantage Large Cap Core V.I.
_________ % BlackRock Basic Value V.I.
_________ % BlackRock Capital Appreciation V.I.
_________ % BlackRock Equity Dividend V.I.
_________ % BlackRock Global Allocation V.I.
_________ % BlackRock High Yield V.I.
_________ % BlackRock Large Cap Focus Growth V.I.
_________ % BNY Mellon IP Small Cap Stock Index
_________ % BNY Mellon IP Technology Growth
_________ % BNY Mellon Stock Index
_________ % BNY Mellon VIF Appreciation
_________ % Dimensional VA Equity Allocation
_________ % Dimensional VA Global Bond Portfolio
_________ % Dimensional VA Global Moderate Allocation
_________ % Dimensional VA International Small Portfolio
_________ % Dimensional VA International Value Portfolio
_________ % Dimensional VA Short-Term Fixed Portfolio
_________ % Dimensional VA U.S. Large Value Portfolio
_________ % Dimensional VA U.S. Targeted Value Portfolio
_________ % Donoghue Forlines Dividend VIT Fund
_________ % Donoghue Forlines Momentum VIT Fund
_________ % DWS Small Mid Cap Value VIP
_________ % Eaton Vance VT Floating-Rate Income
_________ % Federated Hermes for U.S. Government Securities II
_________ % Federated Hermes High Income Bond II
_________ % Fidelity® VIP Balanced
_________ % Fidelity® VIP Contrafund®
_________ % Fidelity® VIP Disciplined Small Cap
_________ % Fidelity® VIP Emerging Markets
_________ % Fidelity® VIP Growth & Income
_________ % Fidelity® VIP Growth Opportunities
_________ % Fidelity® VIP High Income
_________ % Fidelity® VIP Index 500
_________ % Fidelity® VIP Investment Grade Bond
_________ % Fidelity® VIP Mid Cap
_________ % Fidelity® VIP Overseas
_________ % Fidelity® VIP Real Estate
_________ % Fidelity® VIP Strategic Income
_________ % Franklin DynaTech VIP
_________ % Franklin Growth and Income VIP Fund
_________ % Franklin Income VIP Fund
_________ % Franklin Large Cap Growth VIP Fund
_________ % Franklin Mutual Global Discovery VIP Fund
_________ % Franklin Mutual Shares VIP Fund
_________ % Franklin Rising Dividends VIP Fund
_________ % Franklin Small Cap Value VIP Fund
_________ % Franklin Small-Mid Cap Growth VIP Fund
_________ % Franklin Strategic Income VIP Fund
_________ % Franklin U.S. Government Securities VIP Fund
_________ % Goldman Sachs VIT International Equity Insights
_________ % Goldman Sachs VIT Large Cap Value
_________ % Goldman Sachs VIT Mid Cap Growth
_________ % Goldman Sachs VIT Mid Cap Value
_________ % Goldman Sachs VIT Small Cap Equity Insights
_________ % Goldman Sachs VIT Strategic Growth
_________ % Guggenheim VIF Floating Rate Strategies
_________ % Guggenheim VIF Global Managed Futures Strategy
_________ % Guggenheim VIF High Yield
_________ % Guggenheim VIF Multi-Hedge Strategies
_________ % Guggenheim VIF Total Return Bond
_________ % Invesco Oppenheimer V.I. International Growth Fund
_________ % Invesco V.I. American Franchise
_________ % Invesco V.I. American Value
_________ % Invesco V.I. Balanced-Risk Allocation
_________ % Invesco V.I. Comstock
_________ % Invesco V.I. Core Equity
_________ % Invesco V.I. Discovery Mid Cap Growth
_________ % Invesco V.I. Equally-Weighted S&P 500
_________ % Invesco V.I. Equity and Income
_________ % Invesco V.I. EQV International Equity
_________ % Invesco V.I. Global
_________ % Invesco V.I. Global Core Equity
_________ % Invesco V.I. Global Real Estate
_________ % Invesco V.I. Global Strategic Income
_________ % Invesco V.I. Government Securities
_________ % Invesco V.I. Growth and Income
_________ % Invesco V.I. Health Care
_________ % Invesco V.I. High Yield

Continued on Next Page ▶

5. Provide Investment Directions (continued from page 4)

__________ % Invesco V.I. Main Street Mid Cap Fund®
__________ % Invesco V.I. Main Street Small Cap Fund®
__________ % Invesco V.I. Small Cap Equity
__________ % Janus Henderson VIT Enterprise
__________ % Janus Henderson VIT Forty
__________ % Janus Henderson VIT Mid Cap Value
__________ % Janus Henderson VIT Overseas
__________ % Janus Henderson VIT Research
__________ % Lord Abbett Series Bond-Debenture VC
__________ % Lord Abbett Series Developing Growth VC [1]
__________ % Lord Abbett Series Dividend Growth VC
__________ % Lord Abbett Series Fundamental Equity VC
__________ % Lord Abbett Series Growth and Income VC
__________ % Lord Abbett Series Growth Opportunities VC
__________ % Lord Abbett Series Mid Cap Stock VC
__________ % Lord Abbett Series Total Return VC
__________ % LVIP American Century Disciplined Core Value
__________ % LVIP American Century Inflation Protection
__________ % LVIP American Century International
__________ % LVIP American Century Mid Cap Value [1]
__________ % LVIP American Century Value
__________ % LVIP JPMorgan Core Bond
__________ % LVIP JPMorgan Small Cap Core
__________ % LVIP JPMorgan U.S. Equity
__________ % Macquarie VIP Asset Strategy
__________ % Macquarie VIP Balanced
__________ % Macquarie VIP Core Equity
__________ % Macquarie VIP Energy
__________ % Macquarie VIP Global Growth
__________ % Macquarie VIP Growth
__________ % Macquarie VIP High Income
__________ % Macquarie VIP International Core Equity
__________ % Macquarie VIP Limited-Term Bond
__________ % Macquarie VIP Mid Cap Growth
__________ % Macquarie VIP Natural Resources
__________ % Macquarie VIP Science and Technology
__________ % Macquarie VIP Small Cap Growth
__________ % Macquarie VIP Smid Cap Core
__________ % Macquarie VIP Value
__________ % MFS® VIT Emerging Markets Equity
__________ % MFS® VIT Global Tactical Allocation
__________ % MFS® VIT High Yield
__________ % MFS® VIT II MA Investors Growth Stock
__________ % MFS® VIT II Research International
__________ % MFS® VIT International Intrinsic Value
__________ % MFS® VIT Investors Trust
__________ % MFS® VIT New Discovery
__________ % MFS® VIT Research
__________ % MFS® VIT Total Return
__________ % MFS® VIT Total Return Bond
__________ % MFS® VIT Utilities
__________ % Morgan Stanley VIF Emerging Markets Debt
__________ % Morgan Stanley VIF Emerging Markets Equity
__________ % Morningstar Aggressive Growth ETF Asset Allocation Portfolio
__________ % Morningstar Balanced ETF Asset Allocation Portfolio
__________ % Morningstar Conservative ETF Asset Allocation Portfolio
__________ % Morningstar Growth ETF Asset Allocation Portfolio
__________ % Morningstar Income and Growth ETF Asset Allocation Portfolio
__________ % NAA All Cap Value Series
__________ % NAA Large Cap Value Series
__________ % NAA Large Core Series
__________ % NAA Large Growth Series
__________ % NAA Mid Growth Series
__________ % NAA Small Cap Value Series
__________ % NAA Small Growth Series
__________ % NAA SMid Cap Value Series
__________ % NAA World Equity Income Series
__________ % Neuberger Berman AMT Sustainable Equity
__________ % PIMCO VIT All Asset
__________ % PIMCO VIT CommodityRealReturn Strategy
__________ % PIMCO VIT Emerging Markets Bond
__________ % PIMCO VIT Global Bond Opportunities Portfolio (Unhedged)
__________ % PIMCO VIT Global Managed Asset Allocation
__________ % PIMCO VIT High Yield
__________ % PIMCO VIT International Bond Portfolio (Unhedged)
__________ % PIMCO VIT Low Duration
__________ % PIMCO VIT Real Return
__________ % PIMCO VIT Short-Term
__________ % PIMCO VIT Total Return
__________ % Putnam VT Core Equity
__________ % Putnam VT Diversified Income
__________ % Putnam VT Global Asset Allocation
__________ % Putnam VT High Yield
__________ % Putnam VT Income
__________ % Putnam VT Large Cap Growth
__________ % Putnam VT Large Cap Value
__________ % Putnam VT Small Cap Growth
__________ % Redwood Managed Volatility
__________ % Rydex VIF Banking
__________ % Rydex VIF Basic Materials
__________ % Rydex VIF Biotechnology
__________ % Rydex VIF Commodities Strategy
__________ % Rydex VIF Consumer Products
__________ % Rydex VIF Electronics
__________ % Rydex VIF Energy
__________ % Rydex VIF Energy Services
__________ % Rydex VIF Financial Services
__________ % Rydex VIF Health Care
__________ % Rydex VIF High Yield Strategy
__________ % Rydex VIF Internet
__________ % Rydex VIF Leisure
__________ % Rydex VIF NASDAQ-100®
__________ % Rydex VIF Precious Metals
__________ % Rydex VIF Real Estate
__________ % Rydex VIF Retailing
__________ % Rydex VIF S&P 500 Pure Growth
__________ % Rydex VIF S&P 500 Pure Value
__________ % Rydex VIF S&P MidCap 400 Pure Growth
__________ % Rydex VIF S&P MidCap 400 Pure Value

Continued on Next Page ▶

5. Provide Investment Directions (continued from page 5)

_________ % Rydex VIF S&P SmallCap 600 Pure Growth
_________ % Rydex VIF S&P SmallCap 600 Pure Value
_________ % Rydex VIF Technology
_________ % Rydex VIF Telecommunications
_________ % Rydex VIF Transportation
_________ % Rydex VIF U.S. Government Money Market
_________ % Rydex VIF Utilities
_________ % T. Rowe Price Blue Chip Growth
_________ % T. Rowe Price Equity Income
_________ % T. Rowe Price Health Sciences
_________ % T. Rowe Price Limited-Term Bond
_________ % Templeton Developing Markets VIP Fund
_________ % Templeton Foreign VIP Fund
_________ % Templeton Global Bond VIP Fund
_________ % Templeton Growth VIP Fund
_________ % Third Avenue Value
_________ % TOPS® Aggressive Growth ETF
_________ % TOPS® Balanced ETF
_________ % TOPS® Conservative ETF
_________ % TOPS® Growth ETF
_________ % TOPS® Managed Risk Balanced ETF
_________ % TOPS® Managed Risk Growth ETF
_________ % TOPS® Managed Risk Moderate Growth ETF
_________ % TOPS® Moderate Growth ETF
_________ % VanEck VIP Global Gold
_________ % VanEck VIP Global Resources
_________ % Vanguard® VIF Balanced
_________ % Vanguard® VIF Capital Growth
_________ % Vanguard® VIF Conservative Allocation
_________ % Vanguard® VIF Diversified Value
_________ % Vanguard® VIF Equity Income
_________ % Vanguard® VIF Equity Index
_________ % Vanguard® VIF Global Bond Index
_________ % Vanguard® VIF Growth
_________ % Vanguard® VIF High Yield Bond
_________ % Vanguard® VIF International
_________ % Vanguard® VIF Mid-Cap Index
_________ % Vanguard® VIF Moderate Allocation
_________ % Vanguard® VIF Real Estate Index
_________ % Vanguard® VIF Short Term Investment Grade
_________ % Vanguard® VIF Small Company Growth [1]
_________ % Vanguard® VIF Total Bond Market Index
_________ % Vanguard® VIF Total International Stock Market Index
_________ % Vanguard® VIF Total Stock Market Index
_________ % Victory Pioneer Bond VCT
_________ % Victory Pioneer Equity Income VCT
_________ % Victory Pioneer High Yield VCT
_________ % Victory Pioneer Strategic Income VCT
_________ % Virtus Duff & Phelps Real Estate Securities Series
_________ % Virtus KAR Small-Cap Growth Series
_________ % Virtus Newfleet Multi-Sector Intermediate Bond Series
_________ % Virtus SGA International Growth Series
_________ % Virtus Tactical Allocation Series
_________ % Voya MidCap Opportunities Portfolio
_________ % VY CBRE Global Real Estate Portfolio
_________ % VY CBRE Real Estate Portfolio
_________ % Western Asset Variable Global High Yield Bond

Must Total 100%

[1] New purchases into this subaccount are limited to contract holders who had an investment in the subaccount as of the date it was closed and who maintain such investment.

Continued on Next Page ▶

6. Provide Signatures

As the Contractowner, I understand, acknowledge and certify that:

– I am responsible for tax consequences which could include the imposition of penalties, additional taxes and interest. FSBL assumes no responsibility or liability for any effects of this transaction.

– I am aware of my right to receive information regarding my current contract, including contract values.

– I certify that the information provided is correct and complete.

X ______________________ ______________ ______________________
Signature of Contract Owner | Date (mm/dd/yyyy) | (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X ______________________ ______________ ______________________
Signature of Joint Owner (if applicable) | Date (mm/dd/yyyy) | (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X ______________________ ______________ ______________________
Signature of Plan Sponsor or Third Party Administrator (if applicable – Please consult your financial professional or employer) | Date (mm/dd/yyyy) | Title

X ______________________ ______________ ______________________
Signature of Financial Professional | Date (mm/dd/yyyy) | Print Name of Financial Professional

7. Obtain Signature Guarantee

Please obtain a Signature Guarantee ONLY if required by your Current Carrier.

You can obtain a Signature Guarantee from a bank, broker or other acceptable financial institution. A Notary Public cannot provide a Signature Guarantee.

X ______________________ ______________ ______________________
Signature of Guarantor | Date (mm/dd/yyyy) | Title or Name of Institution

Place Signature Guarantee Stamp Here

8. FSBL Acceptance

To be completed by FSBL. FSBL hereby agrees to accept the transfer of the proceeds identified on this form.

X ______________________________ ______________ ______________________________
Signature of Accepting Carrier | Date (mm/dd/yyyy) | Title

Mail to:

First Security Benefit Life Insurance and Annuity Company of New York | Administrative Office

P.O. Box 750497 | Topeka, Kansas 66675-0497

Fax to: 785.368.1772

Visit us online at FSBL.com