

Questions? Call our Service Center at 1-800-888-2461.

Instructions

Use this form to transfer funds from your current carrier to First Security Benefit Life Insurance and Annuity Company of New York ("FSBL"). Complete the entire form. Please type or print.

1. The Owner must complete (i) this Incoming Funds Request form; (ii) Disclosure Statement; (iii) Application; (iv) Application Supplement; and (v) Important Notice form.
2. Please contact your current carrier for any requirements it may have for transferring money to another company.
3. Obtain Signature Guarantee if required by your current carrier.
4. The documents mentioned above should be mailed to: **First Security Benefit Life Insurance and Annuity Company of New York
Administrative Office
P.O. Box 750497
Topeka, KS 66675-0497**
5. Upon receiving this material FSBL will send an acceptance letter to the carrier.
6. If you are completing this form for a 403(b) or 403(b)(7) account/contract please contact your employer for any processing instructions the employer or third party administrator may require.

Notice to Current Carrier

Please make check(s) payable to **First Security Benefit Life Insurance and Annuity Company of New York** for the benefit of the Owner listed on this form and mail to:

Regular mail:

Administrative Office
P.O. Box 750500
Topeka, KS 66675-0500

Overnight mail:

Administrative Office
Mail Zone 500
5801 SW 6th Street
Topeka, KS 66636-0500

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1. Provide FSBL Account Information

☐ Application Attached or Contract Number _____

Name of Owner _____
First MI Last

Mailing Address _____
Street Address City State Zip Code

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____
(mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Name of Joint Owner _____
First MI Last

Mailing Address _____
Street Address City State Zip Code

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____
(mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Name of Annuitant/Participant _____
(if different from Owner) First MI Last

Mailing Address _____
Street Address City State Zip Code

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____
(mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Please indicate the type of account you would like to transfer your funds to (check one).

- ☐ Roth IRA ☐ Non-qualified Annuity ☐ Traditional IRA

2. Provide Your Current Carrier Information

Please fill out the name and contact information for your current carrier.

Current Carrier's Name _____

Mailing Address _____
Street Address City State Zip Code

Phone Number _____ **Account Number for Current Carrier** _____

Please indicate the account type you have with your current carrier (check one).

- | | | | |
|----------------------------------|--|--|---------------------------------------|
| <input type="radio"/> 401(a) | <input type="radio"/> Life Insurance | <input type="radio"/> Non-qualified Mutual Fund ¹ | <input type="radio"/> SIMPLE IRA |
| <input type="radio"/> 401(k) | <input type="radio"/> Non-qualified Annuity | <input type="radio"/> Roth IRA | <input type="radio"/> Traditional IRA |
| <input type="radio"/> 403(b) TSA | <input type="radio"/> Non-qualified CD, Stock ¹ | <input type="radio"/> SEP-IRA | <input type="radio"/> Other |
| <input type="radio"/> 457 | | | |

¹This transfer is a taxable event.

Please indicate the investment type you have with your current carrier (check one).

- ☐ Annuity ☐ Bank CD ☐ Mutual Fund ☐ Life Policy ☐ Money Market ☐ Brokerage Account
- ☐ 401(k)/Pension Plan ☐ Other

If this request involves your entire account balance, please check one of the following. My policy is:

- ☐ Enclosed ☐ Lost/destroyed

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3. Set Up Transfer/Exchange/Rollover Option

Please indicate one of the following.

- ☐ 1035 Exchange: I hereby make complete and absolute assignment and transfer all or the portion specified of my rights, title and interest of every nature and character in and to the Current Carrier Account in Section 2 to FSBL in an exchange intended to qualify under Section 1035 of the Internal Revenue Code. I understand that by executing this assignment, I irrevocably waive all rights, claims and demands under the above policy for the portion specified.
- If you effect, or have effected, a partial exchange from a previously existing annuity contract with another carrier to an annuity contract with FSBL under IRC Section 1035, any withdrawals from or changes in ownership to your FSBL contract within 180 days of such partial change may have adverse tax consequences. Please consult your tax advisor.
- ☐ Exchange (exchange of 403(b)/403(b)(7) assets from one provider to another provider within your current employer's Plan)
- ☐ Rollover (not like-to-like, for example 457 to IRA, etc.)
- ☐ Transfer (like-to-like, for example, 403(b) to 403(b), IRA to IRA, prior employer 403(b) Plan to current employer 403(b) Plan)

Please Transfer ☐ Immediately ☐ On date _____ (transfer must occur within 30 calendar days from signing date and will be mailed two business days prior to date listed here)
Date (mm/dd/yyyy)

Amount

- ☐ Liquidate my entire Account: Estimated Value \$ _____
- ☐ Liquidate a specified amount: Amount to Transfer \$ _____ or % _____
- ☐ Transfer over _____ years
- ☐ Monthly ☐ Quarterly ☐ Semi-annually ☐ Annually

Distribution Requirements (if applicable)

I certify that applicable requirements have been met for distribution. Check all that apply:

- ☐ Age 59½ ☐ Disabled ☐ Severance of employment on _____
Date (mm/dd/yyyy)

4. Required Minimum Distribution (if applicable)

- ☐ Current carrier should distribute my RMD to me prior to transferring/rolling over my account.
- ☐ Current carrier should proceed with the transfer/rollover because the requirements for the current year have been met.

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5. Provide Investment Directions (continued on page 5)

Please invest the funds (check one):

- ☐ As indicated on the enclosed application; or for an existing account, to the allocations on file.
- ☐ According to the Investment Allocations indicated below. Indicate whole percentages totaling 100%.

If no option is indicated above, the funds will be invested according to the allocations on file.

<input type="checkbox"/> % AB Discovery Value	<input type="checkbox"/> % Fidelity® VIP Emerging Markets
<input type="checkbox"/> % AB Relative Value	<input type="checkbox"/> % Fidelity® VIP Growth & Income
<input type="checkbox"/> % AB Sustainable Global Thematic	<input type="checkbox"/> % Fidelity® VIP Growth Opportunities
<input type="checkbox"/> % AB VPS Dynamic Asset Allocation	<input type="checkbox"/> % Fidelity® VIP High Income
<input type="checkbox"/> % AFIS Capital World Growth and Income	<input type="checkbox"/> % Fidelity® VIP Index 500
<input type="checkbox"/> % AFIS U.S. Government Securities	<input type="checkbox"/> % Fidelity® VIP Investment Grade Bond
<input type="checkbox"/> % AFIS Washington Mutual Investors	<input type="checkbox"/> % Fidelity® VIP Mid Cap
<input type="checkbox"/> % Alger Capital Appreciation ¹	<input type="checkbox"/> % Fidelity® VIP Overseas
<input type="checkbox"/> % Alger Large Cap Growth	<input type="checkbox"/> % Fidelity® VIP Real Estate
<input type="checkbox"/> % Allspring Opportunity VT	<input type="checkbox"/> % Fidelity® VIP Strategic Income
<input type="checkbox"/> % Allspring VT Discovery All Cap Growth	<input type="checkbox"/> % Franklin DynaTech VIP
<input type="checkbox"/> % ALPS/Alerian Energy Infrastructure	<input type="checkbox"/> % Franklin Growth and Income VIP Fund
<input type="checkbox"/> % American Funds IS® Asset Allocation	<input type="checkbox"/> % Franklin Income VIP Fund
<input type="checkbox"/> % American Funds IS® Capital World Bond	<input type="checkbox"/> % Franklin Large Cap Growth VIP Fund
<input type="checkbox"/> % American Funds IS® Global Growth	<input type="checkbox"/> % Franklin Mutual Global Discovery VIP Fund
<input type="checkbox"/> % American Funds IS® Global Small Capitalization	<input type="checkbox"/> % Franklin Mutual Shares VIP Fund
<input type="checkbox"/> % American Funds IS® Growth	<input type="checkbox"/> % Franklin Rising Dividends VIP Fund
<input type="checkbox"/> % American Funds IS® Growth-Income	<input type="checkbox"/> % Franklin Small Cap Value VIP Fund
<input type="checkbox"/> % American Funds IS® International	<input type="checkbox"/> % Franklin Small-Mid Cap Growth VIP Fund
<input type="checkbox"/> % American Funds IS® International Growth and Income	<input type="checkbox"/> % Franklin Strategic Income VIP Fund
<input type="checkbox"/> % American Funds IS® Mortgage	<input type="checkbox"/> % Franklin U.S. Government Securities VIP Fund
<input type="checkbox"/> % American Funds IS® New World	<input type="checkbox"/> % Goldman Sachs VIT International Equity Insights
<input type="checkbox"/> % BlackRock Advantage Large Cap Core V.I.	<input type="checkbox"/> % Goldman Sachs VIT Large Cap Value
<input type="checkbox"/> % BlackRock Basic Value V.I.	<input type="checkbox"/> % Goldman Sachs VIT Mid Cap Growth
<input type="checkbox"/> % BlackRock Capital Appreciation V.I.	<input type="checkbox"/> % Goldman Sachs VIT Mid Cap Value
<input type="checkbox"/> % BlackRock Equity Dividend V.I.	<input type="checkbox"/> % Goldman Sachs VIT Small Cap Equity Insights
<input type="checkbox"/> % BlackRock Global Allocation V.I.	<input type="checkbox"/> % Goldman Sachs VIT Strategic Growth
<input type="checkbox"/> % BlackRock High Yield V.I.	<input type="checkbox"/> % Guggenheim VIF Floating Rate Strategies
<input type="checkbox"/> % BlackRock Large Cap Focus Growth V.I.	<input type="checkbox"/> % Guggenheim VIF Global Managed Futures Strategy
<input type="checkbox"/> % BNY Mellon IP Small Cap Stock Index	<input type="checkbox"/> % Guggenheim VIF High Yield
<input type="checkbox"/> % BNY Mellon IP Technology Growth	<input type="checkbox"/> % Guggenheim VIF Multi-Hedge Strategies
<input type="checkbox"/> % BNY Mellon Stock Index	<input type="checkbox"/> % Guggenheim VIF Total Return Bond
<input type="checkbox"/> % BNY Mellon VIF Appreciation	<input type="checkbox"/> % Invesco Oppenheimer V.I. International Growth Fund
<input type="checkbox"/> % Dimensional VA Equity Allocation	<input type="checkbox"/> % Invesco V.I. American Franchise
<input type="checkbox"/> % Dimensional VA Global Bond Portfolio	<input type="checkbox"/> % Invesco V.I. American Value
<input type="checkbox"/> % Dimensional VA Global Moderate Allocation	<input type="checkbox"/> % Invesco V.I. Balanced-Risk Allocation
<input type="checkbox"/> % Dimensional VA International Small Portfolio	<input type="checkbox"/> % Invesco V.I. Comstock
<input type="checkbox"/> % Dimensional VA International Value Portfolio	<input type="checkbox"/> % Invesco V.I. Core Equity
<input type="checkbox"/> % Dimensional VA Short-Term Fixed Portfolio	<input type="checkbox"/> % Invesco V.I. Discovery Mid Cap Growth
<input type="checkbox"/> % Dimensional VA U.S. Large Value Portfolio	<input type="checkbox"/> % Invesco V.I. Equally-Weighted S&P 500
<input type="checkbox"/> % Dimensional VA U.S. Targeted Value Portfolio	<input type="checkbox"/> % Invesco V.I. Equity and Income
<input type="checkbox"/> % Donoghue Forlines Dividend VIT Fund	<input type="checkbox"/> % Invesco V.I. EQV International Equity
<input type="checkbox"/> % Donoghue Forlines Momentum VIT Fund	<input type="checkbox"/> % Invesco V.I. Global
<input type="checkbox"/> % DWS Small Mid Cap Value VIP	<input type="checkbox"/> % Invesco V.I. Global Core Equity
<input type="checkbox"/> % Eaton Vance VT Floating-Rate Income	<input type="checkbox"/> % Invesco V.I. Global Real Estate
<input type="checkbox"/> % Federated Hermes for U.S. Government Securities II	<input type="checkbox"/> % Invesco V.I. Global Strategic Income
<input type="checkbox"/> % Federated Hermes High Income Bond II	<input type="checkbox"/> % Invesco V.I. Government Securities
<input type="checkbox"/> % Fidelity® VIP Balanced	<input type="checkbox"/> % Invesco V.I. Growth and Income
<input type="checkbox"/> % Fidelity® VIP Contrafund®	<input type="checkbox"/> % Invesco V.I. Health Care
<input type="checkbox"/> % Fidelity® VIP Disciplined Small Cap	<input type="checkbox"/> % Invesco V.I. High Yield

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5. Provide Investment Directions (continued from page 4)

_____ % Invesco V.I. Main Street Mid Cap Fund®	_____ % Morningstar Conservative ETF Asset Allocation Portfolio
_____ % Invesco V.I. Main Street Small Cap Fund®	_____ % Morningstar Growth ETF Asset Allocation Portfolio
_____ % Invesco V.I. Small Cap Equity	_____ % Morningstar Income and Growth ETF Asset Allocation Portfolio
_____ % Janus Henderson VIT Enterprise	_____ % NAA All Cap Value Series
_____ % Janus Henderson VIT Forty	_____ % NAA Large Cap Value Series
_____ % Janus Henderson VIT Mid Cap Value	_____ % NAA Large Core Series
_____ % Janus Henderson VIT Overseas	_____ % NAA Large Growth Series
_____ % Janus Henderson VIT Research	_____ % NAA Mid Growth Series
_____ % Lord Abbett Series Bond-Debenture VC	_____ % NAA Small Cap Value Series
_____ % Lord Abbett Series Developing Growth VC ¹	_____ % NAA Small Growth Series
_____ % Lord Abbett Series Dividend Growth VC	_____ % NAA SMid Cap Value Series
_____ % Lord Abbett Series Fundamental Equity VC	_____ % NAA World Equity Income Series
_____ % Lord Abbett Series Growth and Income VC	_____ % Neuberger Berman AMT Sustainable Equity
_____ % Lord Abbett Series Growth Opportunities VC	_____ % PIMCO VIT All Asset
_____ % Lord Abbett Series Mid Cap Stock VC	_____ % PIMCO VIT CommodityRealReturn Strategy
_____ % Lord Abbett Series Total Return VC	_____ % PIMCO VIT Emerging Markets Bond
_____ % LVIP American Century Disciplined Core Value	_____ % PIMCO VIT Global Bond Opportunities Portfolio (Unhedged)
_____ % LVIP American Century Inflation Protection	_____ % PIMCO VIT Global Managed Asset Allocation
_____ % LVIP American Century International	_____ % PIMCO VIT High Yield
_____ % LVIP American Century Mid Cap Value ¹	_____ % PIMCO VIT International Bond Portfolio (Unhedged)
_____ % LVIP American Century Value	_____ % PIMCO VIT Low Duration
_____ % LVIP JPMorgan Core Bond	_____ % PIMCO VIT Real Return
_____ % LVIP JPMorgan Small Cap Core	_____ % PIMCO VIT Short-Term
_____ % LVIP JPMorgan U.S. Equity	_____ % PIMCO VIT Total Return
_____ % Macquarie VIP Asset Strategy	_____ % Putnam VT Core Equity
_____ % Macquarie VIP Balanced	_____ % Putnam VT Diversified Income
_____ % Macquarie VIP Core Equity	_____ % Putnam VT Global Asset Allocation
_____ % Macquarie VIP Energy	_____ % Putnam VT High Yield
_____ % Macquarie VIP Global Growth	_____ % Putnam VT Income
_____ % Macquarie VIP Growth	_____ % Putnam VT Large Cap Growth
_____ % Macquarie VIP High Income	_____ % Putnam VT Large Cap Value
_____ % Macquarie VIP International Core Equity	_____ % Putnam VT Small Cap Growth
_____ % Macquarie VIP Limited-Term Bond	_____ % Redwood Managed Volatility
_____ % Macquarie VIP Mid Cap Growth	_____ % Rydex VIF Banking
_____ % Macquarie VIP Natural Resources	_____ % Rydex VIF Basic Materials
_____ % Macquarie VIP Science and Technology	_____ % Rydex VIF Biotechnology
_____ % Macquarie VIP Small Cap Growth	_____ % Rydex VIF Commodities Strategy
_____ % Macquarie VIP Smid Cap Core	_____ % Rydex VIF Consumer Product
_____ % Macquarie VIP Value	_____ % Rydex VIF Dow 2x Strategy
_____ % MFS® VIT Emerging Markets Equity	_____ % Rydex VIF Electronics
_____ % MFS® VIT Global Tactical Allocation	_____ % Rydex VIF Energy
_____ % MFS® VIT High Yield	_____ % Rydex VIF Energy Services
_____ % MFS® VIT II MA Investors Growth Stock	_____ % Rydex VIF Europe 1.25x Strategy
_____ % MFS® VIT II Research International	_____ % Rydex VIF Financial Services
_____ % MFS® VIT International Intrinsic Value	_____ % Rydex VIF Government Long Bond 1.2x Strategy
_____ % MFS® VIT Investors Trust	_____ % Rydex VIF Health Care
_____ % MFS® VIT New Discovery	_____ % Rydex VIF High Yield Strategy
_____ % MFS® VIT Research	_____ % Rydex VIF Internet
_____ % MFS® VIT Total Return	_____ % Rydex VIF Inverse Dow 2x Strategy
_____ % MFS® VIT Total Return Bond	_____ % Rydex VIF Inverse Government Long Bond Strategy
_____ % MFS® VIT Utilities	_____ % Rydex VIF Inverse Mid-Cap Strategy
_____ % Morgan Stanley VIF Emerging Markets Debt	_____ % Rydex VIF Inverse NASDAQ-100® Strategy
_____ % Morgan Stanley VIF Emerging Markets Equity	_____ % Rydex VIF Inverse Russell 2000® Strategy
_____ % Morningstar Aggressive Growth ETF Asset Allocation Portfolio	
_____ % Morningstar Balanced ETF Asset Allocation Portfolio	

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5. Provide Investment Directions (continued from page 5)

_____ % Rydex VIF Inverse S&P 500 Strategy	_____ % TOPS® Managed Risk Balanced ETF
_____ % Rydex VIF Japan 2x Strategy	_____ % TOPS® Managed Risk Growth ETF
_____ % Rydex VIF Leisure	_____ % TOPS® Managed Risk Moderate Growth ETF
_____ % Rydex VIF Mid-Cap 1.5x Strategy	_____ % TOPS® Moderate Growth ETF
_____ % Rydex VIF NASDAQ-100®	_____ % VanEck VIP Global Gold
_____ % Rydex VIF NASDAQ-100® 2x Strategy	_____ % VanEck VIP Global Resources
_____ % Rydex VIF Nova	_____ % Vanguard® VIF Balanced
_____ % Rydex VIF Precious Metals	_____ % Vanguard® VIF Capital Growth
_____ % Rydex VIF Real Estate	_____ % Vanguard® VIF Conservative Allocation
_____ % Rydex VIF Retailing	_____ % Vanguard® VIF Diversified Value
_____ % Rydex VIF Russell 2000® 1.5x Strategy	_____ % Vanguard® VIF Equity Income
_____ % Rydex VIF Russell 2000® 2x Strategy	_____ % Vanguard® VIF Equity Index
_____ % Rydex VIF S&P 500 2x Strategy	_____ % Vanguard® VIF Global Bond Index
_____ % Rydex VIF S&P 500 Pure Growth	_____ % Vanguard® VIF Growth
_____ % Rydex VIF S&P 500 Pure Value	_____ % Vanguard® VIF High Yield Bond
_____ % Rydex VIF S&P MidCap 400 Pure Growth	_____ % Vanguard® VIF International
_____ % Rydex VIF S&P MidCap 400 Pure Value	_____ % Vanguard® VIF Mid-Cap Index
_____ % Rydex VIF S&P SmallCap 600 Pure Growth	_____ % Vanguard® VIF Moderate Allocation
_____ % Rydex VIF S&P SmallCap 600 Pure Value	_____ % Vanguard® VIF Real Estate Index
_____ % Rydex VIF Strengthening Dollar 2x Strategy	_____ % Vanguard® VIF Short Term Investment Grade
_____ % Rydex VIF Technology	_____ % Vanguard® VIF Small Company Growth ¹
_____ % Rydex VIF Telecommunications	_____ % Vanguard® VIF Total Bond Market Index
_____ % Rydex VIF Transportation	_____ % Vanguard® VIF Total International Stock Market Index
_____ % Rydex VIF U.S. Government Money Market	_____ % Vanguard® VIF Total Stock Market Index
_____ % Rydex VIF Utilities	_____ % Victory Pioneer Bond VCT
_____ % Rydex VIF Weakening Dollar 2x Strategy	_____ % Victory Pioneer Equity Income VCT
_____ % T. Rowe Price Blue Chip Growth	_____ % Victory Pioneer High Yield VCT
_____ % T. Rowe Price Equity Income	_____ % Victory Pioneer Strategic Income VCT
_____ % T. Rowe Price Health Sciences	_____ % Virtus Duff & Phelps Real Estate Securities Series
_____ % T. Rowe Price Limited-Term Bond	_____ % Virtus KAR Small-Cap Growth Series
_____ % Templeton Developing Markets VIP Fund	_____ % Virtus Newfleet Multi-Sector Intermediate Bond Series
_____ % Templeton Foreign VIP Fund	_____ % Virtus SGA International Growth Series
_____ % Templeton Global Bond VIP Fund	_____ % Virtus Tactical Allocation Series
_____ % Templeton Growth VIP Fund	_____ % Voya MidCap Opportunities Portfolio
_____ % Third Avenue Value	_____ % VY CBRE Global Real Estate Portfolio
_____ % TOPS® Aggressive Growth ETF	_____ % VY CBRE Real Estate Portfolio
_____ % TOPS® Balanced ETF	_____ % Western Asset Variable Global High Yield Bond
_____ % TOPS® Conservative ETF	
_____ % TOPS® Growth ETF	

Must Total 100%

¹ New purchases into this subaccount are limited to contract holders who had an investment in the subaccount as of the date it was closed and who maintain such investment.

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6. Provide Signatures

As the Contractowner, I understand, acknowledge and certify that:

- I am responsible for tax consequences which could include the imposition of penalties, additional taxes and interest. FSBL assumes no responsibility or liability for any effects of this transaction.
- I am aware of my right to receive information regarding my current contract, including contract values.
- I certify that the information provided is correct and complete.

X

Signature of Contract Owner

Date (mm/dd/yyyy)

(You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X

Signature of Joint Owner (if applicable)

Date (mm/dd/yyyy)

(You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X

Signature of Plan Sponsor or Third Party Administrator
(if applicable – Please consult your financial professional or employer)

Date (mm/dd/yyyy)

Title

X

Signature of Financial Professional

Date (mm/dd/yyyy)

Print Name of Financial Professional

7. Obtain Signature Guarantee

Please obtain a Signature Guarantee ONLY if required by your Current Carrier.

You can obtain a Signature Guarantee from a bank, broker or other acceptable financial institution. A Notary Public cannot provide a Signature Guarantee.

X

Signature of Guarantor

Date (mm/dd/yyyy)

Title or Name of Institution

Place Signature Guarantee Stamp Here

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8. FSBL Acceptance

To be completed by FSBL. FSBL hereby agrees to accept the transfer of the proceeds identified on this form.

X

Signature of Accepting Carrier

Date (mm/dd/yyyy)

Title

Mail to:

First Security Benefit Life Insurance and Annuity Company of New York | Administrative Office

P.O. Box 750497 | Topeka, Kansas 66675-0497

Fax to: 785.368.1772

Visit us online at FSBL.com

