First Security Benefit Life Insurance and Annuity Company of New York

EliteDesigns® Incoming Funds Request

Questions? Call our Service Center at 1-800-888-2461.

Instructions

Use this form to transfer funds from your current carrier to First Security Benefit Life Insurance and Annuity Company of New York ("FSBL"). Complete the entire form. Please type or print.

1. The Owner must complete (i) this Incoming Funds Request form; (ii) Disclosure Statement; (iii) Application; (iv) Application Supplement; and (v) Important Notice form.
2. Please contact your current carrier for any requirements it may have for transferring money to another company.
3. Obtain Signature Guarantee if required by your current carrier.
4. The documents mentioned above should be mailed to: **First Security Benefit Life Insurance and Annuity Company of New York**
   Administrative Office
   P.O. Box 750497
   Topeka, KS 66675-0497
5. Upon receiving this material FSBL will send an acceptance letter to the carrier.
6. If you are completing this form for a 403(b) or 403(b)(7) account/contract please contact your employer for any processing instructions the employer or third party administrator may require.

Notice to Current Carrier

Please make check(s) payable to **First Security Benefit Life Insurance and Annuity Company of New York** for the benefit of the Owner listed on this form and mail to:

Regular mail:
Administrative Office
P.O. Box 750500
Topeka, KS 66675-0500

Overnight mail:
Administrative Office
Mail Zone 500
5801 SW 6th Street
Topeka, KS 66636-0500

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1. Provide FSBL Account Information

☐ **Application Attached or Contract Number** _____

Name of Owner _____ (First) _____ (MI) _____ (Last)

Mailing Address _____ (Street Address) _____ (City) _____ (State) _____ (Zip Code)

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____ (mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Name of Joint Owner _____ (First) _____ (MI) _____ (Last)

Mailing Address _____ (Street Address) _____ (City) _____ (State) _____ (Zip Code)

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____ (mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Name of Annuitant/Participant *(if different from Owner)* _____ (First) _____ (MI) _____ (Last)

Mailing Address _____ (Street Address) _____ (City) _____ (State) _____ (Zip Code)

Social Security Number/Tax I.D. Number _____ **Date of Birth** _____ (mm/dd/yyyy)

Daytime Phone Number _____ **Home Phone Number** _____

Please indicate the type of account you would like to transfer your funds to (check one).

◯ **Roth IRA** ◯ **Non-qualified Annuity** ◯ **Traditional IRA**

2. Provide Your Current Carrier Information

Please fill out the name and contact information for your current carrier.

Current Carrier's Name _____

Mailing Address _____ (Street Address) _____ (City) _____ (State) _____ (Zip Code)

Phone Number _____ **Account Number for Current Carrier** _____

Please indicate the account type you have with your current carrier (check one).

◯ 401(a) ◯ Life Insurance ◯ Non-qualified Mutual Fund[1] ◯ SIMPLE IRA
◯ 401(k) ◯ Non-qualified Annuity ◯ Roth IRA ◯ Traditional IRA
◯ 403(b) TSA ◯ Non-qualified CD, Stock[1] ◯ SEP-IRA ◯ Other
◯ 457

[1]This transfer is a taxable event.

Please indicate the investment type you have with your current carrier (check one).

◯ Annuity ◯ Bank CD ◯ Mutual Fund ◯ Life Policy ◯ Money Market ◯ Brokerage Account
◯ 401(k)/Pension Plan ◯ Other

If this request involves your entire account balance, please check one of the following. My policy is:

◯ Enclosed ◯ Lost/destroyed

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3. Set Up Transfer/Exchange/Rollover Option

Please indicate one of the following.

◯ 1035 Exchange: I hereby make complete and absolute assignment and transfer all or the portion specified of my rights, title and interest of every nature and character in and to the Current Carrier Account in Section 2 to FSBL in an exchange intended to qualify under Section 1035 of the Internal Revenue Code. I understand that by executing this assignment, I irrevocably waive all rights, claims and demands under the above policy for the portion specified.

If you effect, or have effected, a partial exchange from a previously existing annuity contract with another carrier to an annuity contract with FSBL under IRC Section 1035, any withdrawals from or changes in ownership to your FSBL contract within 180 days of such partial change may have adverse tax consequences. Please consult your tax advisor.

◯ Exchange (exchange of 403(b)/403(b)(7) assets from one provider to another provider within your current employer's Plan)

◯ Rollover (not like-to-like, for example 457 to IRA, etc.)

◯ Transfer (like-to-like, for example, 403(b) to 403(b), IRA to IRA, prior employer 403(b) Plan to current employer 403(b) Plan)

Please Transfer ◯ Immediately ◯ On date _____ (transfer must occur within 30 calendar days from signing date and will be mailed two business days prior to date listed here)
Date (mm/dd/yyyy)

Amount

◯ Liquidate my entire Account: Estimated Value $ _____

◯ Liquidate a specified amount: Amount to Transfer $ _____ or % _____

◯ Transfer over _____ years

◯ Monthly ◯ Quarterly ◯ Semi-annually ◯ Annually

Distribution Requirements (if applicable)

I certify that applicable requirements have been met for distribution. Check all that apply:

☐ Age 59½ ☐ Disabled ☐ Severance of employment on _____
Date (mm/dd/yyyy)

4. Required Minimum Distribution (if applicable)

◯ Current carrier should distribute my RMD to me prior to transferring/rolling over my account.

◯ Current carrier should proceed with the transfer/rollover because the requirements for the current year have been met.

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5. Provide Investment Directions (continued on page 5)

Please invest the funds (check one):

○ As indicated on the enclosed application; or for an existing account, to the allocations on file.

○ According to the Investment Allocations indicated below. Indicate whole percentages totaling 100%.

If no option is indicated above, the funds will be invested according to the allocations on file.

_____ % AB Discovery Value
_____ % AB Relative Value
_____ % AB Sustainable Global Thematic
_____ % AB VPS Dynamic Asset Allocation
_____ % AFIS Capital World Growth and Income
_____ % AFIS U.S. Government Securities
_____ % AFIS Washington Mutual Investors
_____ % Alger Capital Appreciation [1]
_____ % Alger Large Cap Growth
_____ % Allspring Opportunity VT
_____ % Allspring VT Discovery All Cap Growth
_____ % ALPS/Alerian Energy Infrastructure
_____ % American Funds IS® Asset Allocation
_____ % American Funds IS® Capital World Bond
_____ % American Funds IS® EUPAC Fund™
_____ % American Funds IS® Global Growth
_____ % American Funds IS® Growth
_____ % American Funds IS® Growth-Income
_____ % American Funds IS® International Growth and Income
_____ % American Funds IS® Mortgage
_____ % American Funds IS® New World
_____ % American Funds IS® SMALLCAP World Fund®
_____ % BlackRock Advantage Large Cap Core V.I.
_____ % BlackRock Basic Value V.I.
_____ % BlackRock Capital Appreciation V.I.
_____ % BlackRock Equity Dividend V.I.
_____ % BlackRock Global Allocation V.I.
_____ % BlackRock High Yield V.I.
_____ % BlackRock Large Cap Focus Growth V.I.
_____ % BNY Mellon IP Small Cap Stock Index
_____ % BNY Mellon IP Technology Growth
_____ % BNY Mellon Stock Index
_____ % BNY Mellon VIF Appreciation
_____ % Dimensional VA Equity Allocation
_____ % Dimensional VA Global Bond Portfolio
_____ % Dimensional VA Global Moderate Allocation
_____ % Dimensional VA International Small Portfolio
_____ % Dimensional VA International Value Portfolio
_____ % Dimensional VA Short-Term Fixed Portfolio
_____ % Dimensional VA U.S. Large Value Portfolio
_____ % Dimensional VA U.S. Targeted Value Portfolio
_____ % Donoghue Forlines Dividend VIT Fund
_____ % Donoghue Forlines Momentum VIT Fund
_____ % DWS Small Mid Cap Value VIP
_____ % Eaton Vance VT Floating-Rate Income
_____ % Federated Hermes for U.S. Government Securities II
_____ % Federated Hermes High Income Bond II
_____ % Fidelity® VIP Balanced
_____ % Fidelity® VIP Contrafund®
_____ % Fidelity® VIP Disciplined Small Cap
_____ % Fidelity® VIP Emerging Markets
_____ % Fidelity® VIP Growth & Income
_____ % Fidelity® VIP Growth Opportunities
_____ % Fidelity® VIP High Income
_____ % Fidelity® VIP Index 500
_____ % Fidelity® VIP Investment Grade Bond
_____ % Fidelity® VIP Mid Cap
_____ % Fidelity® VIP Overseas
_____ % Fidelity® VIP Real Estate
_____ % Fidelity® VIP Strategic Income
_____ % Franklin DynaTech VIP
_____ % Franklin Growth and Income VIP Fund
_____ % Franklin Income VIP Fund
_____ % Franklin Large Cap Growth VIP Fund
_____ % Franklin Mutual Global Discovery VIP Fund
_____ % Franklin Mutual Shares VIP Fund
_____ % Franklin Rising Dividends VIP Fund
_____ % Franklin Small Cap Value VIP Fund
_____ % Franklin Small-Mid Cap Growth VIP Fund
_____ % Franklin Strategic Income VIP Fund
_____ % Franklin U.S. Government Securities VIP Fund
_____ % Goldman Sachs VIT International Equity Insights
_____ % Goldman Sachs VIT Large Cap Value
_____ % Goldman Sachs VIT Mid Cap Growth
_____ % Goldman Sachs VIT Mid Cap Value
_____ % Goldman Sachs VIT Small Cap Equity Insights
_____ % Goldman Sachs VIT Strategic Growth
_____ % Guggenheim VIF Floating Rate Strategies
_____ % Guggenheim VIF Global Managed Futures Strategy
_____ % Guggenheim VIF High Yield
_____ % Guggenheim VIF Multi-Hedge Strategies
_____ % Guggenheim VIF Total Return Bond
_____ % Invesco V.I. American Franchise
_____ % Invesco V.I. American Value
_____ % Invesco V.I. Balanced-Risk Allocation
_____ % Invesco V.I. Comstock
_____ % Invesco V.I. Core Equity
_____ % Invesco V.I. Discovery Mid Cap Growth
_____ % Invesco V.I. Equally-Weighted S&P 500
_____ % Invesco V.I. Equity and Income
_____ % Invesco V.I. EQV International Equity
_____ % Invesco V.I. Global
_____ % Invesco V.I. Global Real Estate
_____ % Invesco V.I. Global Strategic Income
_____ % Invesco V.I. Government Securities
_____ % Invesco V.I. Growth and Income
_____ % Invesco V.I. Health Care
_____ % Invesco V.I. High Yield
_____ % Invesco V.I. International Growth Fund
_____ % Invesco V.I. Main Street Mid Cap Fund®

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5. Provide Investment Directions (continued from page 4)

_____ % Invesco V.I. Main Street Small Cap Fund®
_____ % Invesco V.I. Small Cap Equity
_____ % Janus Henderson VIT Enterprise
_____ % Janus Henderson VIT Forty
_____ % Janus Henderson VIT Mid Cap Value
_____ % Janus Henderson VIT Overseas
_____ % Janus Henderson VIT Research
_____ % Lord Abbett Series Bond-Debenture VC
_____ % Lord Abbett Series Developing Growth VC [1]
_____ % Lord Abbett Series Dividend Growth VC
_____ % Lord Abbett Series Fundamental Equity VC
_____ % Lord Abbett Series Growth and Income VC
_____ % Lord Abbett Series Growth Opportunities VC
_____ % Lord Abbett Series Mid Cap Stock VC
_____ % Lord Abbett Series Total Return VC
_____ % LVIP American Century Inflation Protection
_____ % LVIP American Century International
_____ % LVIP American Century Mid Cap Value [1]
_____ % LVIP American Century Value
_____ % LVIP Avantis Large Cap Value
_____ % LVIP JPMorgan Core Bond
_____ % LVIP JPMorgan Small Cap Core
_____ % LVIP JPMorgan U.S. Equity
_____ % MFS® VIT Emerging Markets Equity
_____ % MFS® VIT Global Tactical Allocation
_____ % MFS® VIT High Yield
_____ % MFS® VIT II MA Investors Growth Stock
_____ % MFS® VIT II Research International
_____ % MFS® VIT International Intrinsic Equity
_____ % MFS® VIT Investors Trust
_____ % MFS® VIT New Discovery
_____ % MFS® VIT Research
_____ % MFS® VIT Total Return
_____ % MFS® VIT Total Return Bond
_____ % MFS® VIT Utilities
_____ % Morgan Stanley VIF Emerging Markets Debt
_____ % Morgan Stanley VIF Emerging Markets Equity
_____ % Morningstar Aggressive Growth ETF Asset Allocation Portfolio
_____ % Morningstar Balanced ETF Asset Allocation Portfolio
_____ % Morningstar Conservative ETF Asset Allocation Portfolio
_____ % Morningstar Growth ETF Asset Allocation Portfolio
_____ % Morningstar Income and Growth ETF Asset Allocation Portfolio
_____ % NAA All Cap Value Series
_____ % NAA Large Cap Value Series
_____ % NAA Large Core Series
_____ % NAA Large Growth Series
_____ % NAA Mid Growth Series
_____ % NAA Small Cap Value Series
_____ % NAA Small Growth Series
_____ % NAA SMid Cap Value Series
_____ % NAA World Equity Income Series
_____ % Neuberger Berman Quality Equity Portfolio
_____ % Nomura VIP Asset Strategy
_____ % Nomura VIP Balanced
_____ % Nomura VIP Core Equity
_____ % Nomura VIP Energy
_____ % Nomura VIP Global Growth
_____ % Nomura VIP Growth
_____ % Nomura VIP High Income
_____ % Nomura VIP International Core Equity
_____ % Nomura VIP Limited-Term Bond
_____ % Nomura VIP Mid Cap Growth
_____ % Nomura VIP Natural Resources
_____ % Nomura VIP Science and Technology
_____ % Nomura VIP Small Cap Growth
_____ % Nomura VIP Smid Cap Core
_____ % Nomura VIP Value
_____ % PIMCO VIT All Asset
_____ % PIMCO VIT CommodityRealReturn Strategy
_____ % PIMCO VIT Emerging Markets Bond
_____ % PIMCO VIT Global Bond Opportunities Portfolio (Unhedged)
_____ % PIMCO VIT Global Managed Asset Allocation
_____ % PIMCO VIT High Yield
_____ % PIMCO VIT International Bond Portfolio (Unhedged)
_____ % PIMCO VIT Low Duration
_____ % PIMCO VIT Real Return
_____ % PIMCO VIT Short-Term
_____ % PIMCO VIT Total Return
_____ % Putnam VT Core Equity
_____ % Putnam VT Diversified Income
_____ % Putnam VT Global Asset Allocation
_____ % Putnam VT High Yield
_____ % Putnam VT Income
_____ % Putnam VT Large Cap Growth
_____ % Putnam VT Large Cap Value
_____ % Putnam VT Small Cap Growth
_____ % Redwood Managed Volatility
_____ % Rydex VIF Banking
_____ % Rydex VIF Basic Materials
_____ % Rydex VIF Biotechnology
_____ % Rydex VIF Commodities Strategy
_____ % Rydex VIF Consumer Product
_____ % Rydex VIF Dow 2x Strategy
_____ % Rydex VIF Electronics
_____ % Rydex VIF Energy
_____ % Rydex VIF Energy Services
_____ % Rydex VIF Europe 1.25x Strategy
_____ % Rydex VIF Financial Services
_____ % Rydex VIF Government Long Bond 1.2x Strategy
_____ % Rydex VIF Health Care
_____ % Rydex VIF High Yield Strategy
_____ % Rydex VIF Internet
_____ % Rydex VIF Inverse Dow 2x Strategy
_____ % Rydex VIF Inverse Government Long Bond Strategy
_____ % Rydex VIF Inverse Mid-Cap Strategy
_____ % Rydex VIF Inverse NASDAQ-100® Strategy
_____ % Rydex VIF Inverse Russell 2000® Strategy

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5. Provide Investment Directions (continued from page 5)

_____ % Rydex VIF Inverse S&P 500 Strategy
_____ % Rydex VIF Japan 2x Strategy
_____ % Rydex VIF Leisure
_____ % Rydex VIF Mid-Cap 1.5x Strategy
_____ % Rydex VIF NASDAQ-100®
_____ % Rydex VIF NASDAQ-100® 2x Strategy
_____ % Rydex VIF Nova
_____ % Rydex VIF Precious Metals
_____ % Rydex VIF Real Estate
_____ % Rydex VIF Retailing
_____ % Rydex VIF Russell 2000® 1.5x Strategy
_____ % Rydex VIF Russell 2000® 2x Strategy
_____ % Rydex VIF S&P 500 2x Strategy
_____ % Rydex VIF S&P 500 Pure Growth
_____ % Rydex VIF S&P 500 Pure Value
_____ % Rydex VIF S&P MidCap 400 Pure Growth
_____ % Rydex VIF S&P MidCap 400 Pure Value
_____ % Rydex VIF S&P SmallCap 600 Pure Growth
_____ % Rydex VIF S&P SmallCap 600 Pure Value
_____ % Rydex VIF Strengthening Dollar 2x Strategy
_____ % Rydex VIF Technology
_____ % Rydex VIF Telecommunications
_____ % Rydex VIF Transportation
_____ % Rydex VIF U.S. Government Money Market
_____ % Rydex VIF Utilities
_____ % Rydex VIF Weakening Dollar 2x Strategy
_____ % T. Rowe Price Blue Chip Growth
_____ % T. Rowe Price Equity Income
_____ % T. Rowe Price Health Sciences
_____ % T. Rowe Price Limited-Term Bond
_____ % Templeton Emerging Markets VIP Fund
_____ % Templeton Foreign VIP Fund
_____ % Templeton Global Bond VIP Fund
_____ % Templeton Growth VIP Fund
_____ % Third Avenue Value
_____ % TOPS® Aggressive ETF
_____ % TOPS® Balanced ETF
_____ % TOPS® Conservative ETF
_____ % TOPS® Managed Risk Balanced ETF
_____ % TOPS® Managed Risk Moderately Aggressive ETF
_____ % TOPS® Managed Risk Moderate ETF
_____ % TOPS® Moderately Aggressive ETF
_____ % TOPS® Moderate ETF
_____ % VanEck VIP Global Gold
_____ % VanEck VIP Global Resources
_____ % Vanguard® VIF Balanced
_____ % Vanguard® VIF Conservative Allocation
_____ % Vanguard® VIF Diversified Value
_____ % Vanguard® VIF Equity Income
_____ % Vanguard® VIF Equity Index
_____ % Vanguard® VIF Global Bond Index
_____ % Vanguard® VIF Growth
_____ % Vanguard® VIF High Yield Bond
_____ % Vanguard® VIF International
_____ % Vanguard® VIF Mid-Cap Index
_____ % Vanguard® VIF Moderate Allocation
_____ % Vanguard® VIF PRIMECAP
_____ % Vanguard® VIF Real Estate Index
_____ % Vanguard® VIF Short Term Investment Grade
_____ % Vanguard® VIF Small Company Growth [1]
_____ % Vanguard® VIF Total Bond Market Index
_____ % Vanguard® VIF Total International Stock Market Index
_____ % Vanguard® VIF Total Stock Market Index
_____ % Victory Pioneer Bond VCT
_____ % Victory Pioneer Equity Income VCT
_____ % Victory Pioneer High Yield VCT
_____ % Victory Pioneer Strategic Income VCT
_____ % Virtus Duff & Phelps Real Estate Securities Series
_____ % Virtus KAR Small-Cap Growth Series
_____ % Virtus Newfleet Multi-Sector Intermediate Bond Series
_____ % Virtus SGA International Growth Series
_____ % Virtus Tactical Allocation Series
_____ % Voya MidCap Opportunities Portfolio
_____ % VY CBRE Global Real Estate Portfolio
_____ % VY® Columbia Real Estate Portfolio
_____ % Western Asset Variable Global High Yield Bond

Must Total 100%

[1] New purchases into this subaccount are limited to contract holders who had an investment in the subaccount as of the date it was closed and who maintain such investment.

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6. Provide Signatures

As the Contractowner, I understand, acknowledge and certify that:

- I am responsible for tax consequences which could include the imposition of penalties, additional taxes and interest. FSBL assumes no responsibility or liability for any effects of this transaction.
- I am aware of my right to receive information regarding my current contract, including contract values.
- I certify that the information provided is correct and complete.

X _____ _____ _____

Signature of Contract Owner | Signature Date (mm/dd/yyyy) | (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X _____ _____ _____

Signature of Joint Owner (if applicable) | Signature Date (mm/dd/yyyy) | (You must include your designation if signing as a trustee, executor, custodian, guardian, or attorney-in-fact.)

X _____ _____ _____

Signature of Plan Sponsor or Third Party Administrator (if applicable – Please consult your financial professional or employer) | Signature Date (mm/dd/yyyy) | Title

X _____ _____ _____

Signature of Financial Professional | Signature Date (mm/dd/yyyy) | Print Name of Financial Professional

7. Obtain Signature Guarantee

Please obtain a Signature Guarantee ONLY if required by your Current Carrier.

You can obtain a Signature Guarantee from a bank, broker or other acceptable financial institution. A Notary Public cannot provide a Signature Guarantee.

X _____ _____ _____

Signature of Guarantor | Signature Date (mm/dd/yyyy) | Title or Name of Institution

Place Signature Guarantee Stamp Here

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8. FSBL Acceptance

To be completed by FSBL. FSBL hereby agrees to accept the transfer of the proceeds identified on this form.

X _____ _____ _____

Signature of Accepting Carrier | Signature Date (mm/dd/yyyy) | Title

Mail to:

First Security Benefit Life Insurance and Annuity Company of New York | Administrative Office

P.O. Box 750497 | Topeka, Kansas 66675-0497

Fax to: 785.368.1772

Visit us online at FSBL.com