



Questions? Call our Service Center at 1-800-888-2461.

## Instructions

Complete this form to authorize the deduction of advisory fees from your Contract, and the processing of trades and transfers for your Contract, and access to your Contract and account information.

## Contract Information

**Contract Number** \_\_\_\_\_ (the "Contract")

**Owner Name** \_\_\_\_\_  
First MI Last

**Mailing Address** \_\_\_\_\_  
Street Address City State Zip Code

**Social Security Number/Tax I.D. Number** \_\_\_\_\_ **Date of Birth** \_\_\_\_\_  
(mm/dd/yyyy)

**Primary Phone Number** \_\_\_\_\_ **Secondary Phone Number** \_\_\_\_\_

**Joint Owner Name** \_\_\_\_\_  
First MI Last

## Investment Advisor Representative (Individual)

**Investment Advisor Name** \_\_\_\_\_  
First MI Last

**Investment Advisor I.D. Number** \_\_\_\_\_

**Mailing Address** \_\_\_\_\_  
Street Address City State Zip Code

**Phone Number** \_\_\_\_\_ **Fax Number** \_\_\_\_\_

## Registered Investment Advisor (Firm)

**Registered Investment Advisor Name** \_\_\_\_\_  
First MI Last

**Registered Investment Advisor I.D. Number** \_\_\_\_\_

**Mailing Address** \_\_\_\_\_  
Street Address City State Zip Code

**Phone Number** \_\_\_\_\_ **Fax Number** \_\_\_\_\_

## Third Party Investment Advisor (if applicable)

**Third Party Investment Advisor Name** \_\_\_\_\_  
First MI Last

**Third Party Investment Advisor I.D. Number** \_\_\_\_\_

**Mailing Address** \_\_\_\_\_  
Street Address City State Zip Code

**Phone Number** \_\_\_\_\_ **Fax Number** \_\_\_\_\_

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## Owner Authorization

I hereby authorize First Security Benefit Life Insurance and Annuity Company of New York (FSBL) to:

- Comply with written or electronic requests from the individual and/or entities listed above (each an "Advisor") to liquidate a given dollar amount to pay advisory fees under the advisory services agreement between the Advisor and me.
- Deduct advisory fees from my Contract in the proportions the Advisor directs (whether from the subaccounts or fixed account).
- Allow the Advisor to process trades for my Contract and/or transfer my account value among the subaccounts and fixed account.
- Release Contract and account information to the Advisor and comply with written, telephone, internet or faxed instructions from the Advisor listed for my Contract.
- Provide or give access to my Contract and account information to one or more third parties at the request of my Advisor as deemed necessary by the Advisor to service my account.
- Liquidate the additional percentage or dollar amounts from my Contract necessary to cover any charges resulting from the deduction of advisory fees from my Contract (for example, surrender charges).

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## Owner Acknowledgment

You, the Contract Owner, acknowledge and agree that:

- FSBL is not responsible or liable to determine that the instructions received from your Advisor are in compliance with the agreement between the Advisor and you.
- FSBL has no duty to inquire into the amount withdrawn or the manner in which it is withdrawn from your Contract (whether from the subaccounts or fixed account).
- Withdrawals from your Contract to pay advisory fees may incur charges, including, but not limited to, surrender charges, and may impact the value of certain benefits, such as your death benefit rider. The Contract contains specific information on the impact of withdrawals. If charges are due as a result of a withdrawal initiated to pay advisory fees, FSBL will liquidate a larger percentage or dollar amount than requested to pay for such charges.

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## Withdrawals/Tax Status

- If your Contract is a qualified annuity (IRA, SEP/IRA, or 403(b)), you represent that you have entered into an agreement with the Advisor that states that the Contract is solely responsible for the advisory fees. A Form 1099-R for withdrawals to pay advisory fees for these account types will not be generated. However, FSBL reserves the right to change its tax reporting position at any time. If there is a change in this process, you and your Advisor will receive written notice. In addition, any changes in FSBL's process will not alter the future withdrawals to pay advisory fees authorized under this form.
- If your Contract is a Non-qualified annuity, withdrawals to pay advisory fees will be reported to the Internal Revenue Service (IRS) on Form 1099-R and may be subject to penalty tax prior to attaining age 59½.
- If you effect, or have effected, a partial exchange from a previously existing annuity contract with another carrier to an annuity contract with FSBL under IRC Section 1035, any withdrawals from your Contract effected within 180 days of such partial exchange may have adverse tax consequences.
- For more specific information concerning the tax consequences of withdrawals to pay advisory fees, you should consult a tax adviser.

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